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# Introduction to SimCLR

(...and a little more)

Presenter: Yong-Min Shin

jordan3414@yonsei.ac.kr / yongminshin.simple.ink

Chen et al., A simple framework for contrastive learning of visual representations, ICML 2020 (7000+ citations)

Cole et al., When does contrastive visual representation learning work?, CVPR 2021



### **00** Three main topics

\* Other awesome works couldn't fit into this presentation, refer to [4], [5] and more

1

# Overview of self-supervised learning (SSL) [1]

- Idea of self-supervision
- Typical approach between NLP vs. Vision

2

### SimCLR (A simple framework for contrastive learning of visual representations) [2]

- Overview and augmentation viewpoint
- Recipes for good representation learning

3

# **Towards understanding SSL [3]**

- Empirical study using SimCLR
- Analysis on 1) Dataset size 2) Dataset domain 3) Data quality 4) Task granularity

- [1] LeCun, Lecture on YouTube at NYU (link: <a href="https://www.youtube.com/watch?v=tVwV14YkbYs&list=PL80I41oVxglKcAHllsU0txr3OuTTaWX2v&index=13">https://www.youtube.com/watch?v=tVwV14YkbYs&list=PL80I41oVxglKcAHllsU0txr3OuTTaWX2v&index=13</a>) (2020)
- [2] Chen et al., A simple framework for contrastive learning of visual representations, ICML 2020
- [3] Cole et al., When does contrastive visual representation learning work?, CVPR 2021
- [4] Tian et al., What makes for good views for contrastive learning?, NeurIPS 2020
- [5] Wang & Liu, Understanding the behaviour of contrastive loss, CVPR 2021

### 01 Overview of SimCLR: Basic idea of self-supervision [1]

#### Self-supervised learning: Predict everything from everything else

- Supervised learning: Learning with supervision is extremely successful
  - Models adjust parameters by effective error signals
  - Assumption we have covered in this course: **Smoothness assumption** for semi-supervised learning
- 2. Unsupervised learning: Labeling is very expensive, unlabeled data is substantially larger
  - Assumption (belief, prior) of data structure is expressed in loss function
  - [5], [6]: Similar approach in graphs
- 3. Self-supervised learning: Use the given data itself as supervision
  - Early ideas with Siamese nets & "metric learning": [7], [8]
  - First success in natural language processing: GPT [9], BERT [10]
  - Success translated to image processing domain: MoCo [11], SimCLR [1], BYOL [12], SimSiam [13] etc.
  - Biological motivation: Humans learn a large portion of the world by observation (even without supervision)







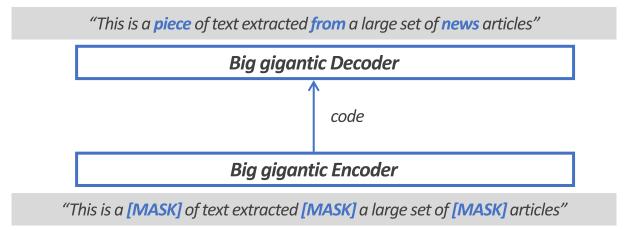
Observe enough and we can understand

- View angle
- Depth
- Brightness
- Shadow (+ direction of light) etc...
- [1] LeCun, Lecture on YouTube at NYU (link: <a href="https://www.youtube.com/watch?v=tVwV14YkbYs&list=PL80I41oVxglKcAHllsU0txr3OuTTaWX2v&index=13">https://www.youtube.com/watch?v=tVwV14YkbYs&list=PL80I41oVxglKcAHllsU0txr3OuTTaWX2v&index=13</a>) (2020)
- [5] Perozzi et al., DeepWalk: Online learning of social representations, KDD 2014
- [6] Hamilton et al., Inductive learning on large graphs, NeurIPS 2018
- [7] Bromley, Guyon, LeCun, Sackinger and Shah, Signature verification using a "Siamese" time delay neural network, NeruIPS 1993
- [8] Radford et al., Improving language understanding by generative pre-training, OpenAI blog (2018)
- [10] Devlin et al., BERT: Pre-training of deep bidirectional transformers for language understanding, arXiv (2018)
- [11] He et al., Momentum contrast for unsupervised visual representation learning, CVPR 2020
- [12] Grill et al., Bootstrap your own latent: A new approach to self-supervised learning, NeurIPS 2020
- [13] Chen et al., Exploring simple Siamese representation learning, CVPR 2021

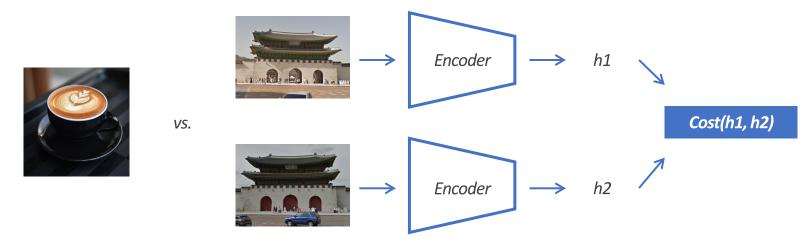
### 01 Overview of SimCLR: Basic idea of self-supervision [1]

### Self-supervised learning: Predict everything from everything else

#### 1. Natural language processing



#### 2. Image processing: Lean towards augmentation-based SSL



### 01 Overview of SimCLR [2]

### Introduction: Unsupervised learning just as good as supervised learning

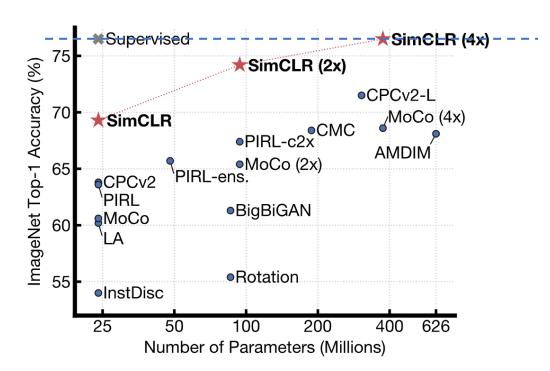


Figure 1. ImageNet Top-1 accuracy of linear classifiers trained on representations learned with different self-supervised methods (pretrained on ImageNet). Gray cross indicates supervised ResNet-50. Our method, SimCLR, is shown in bold.

Unsupervised learning **reaches performance of supervised learning** for ImageNet

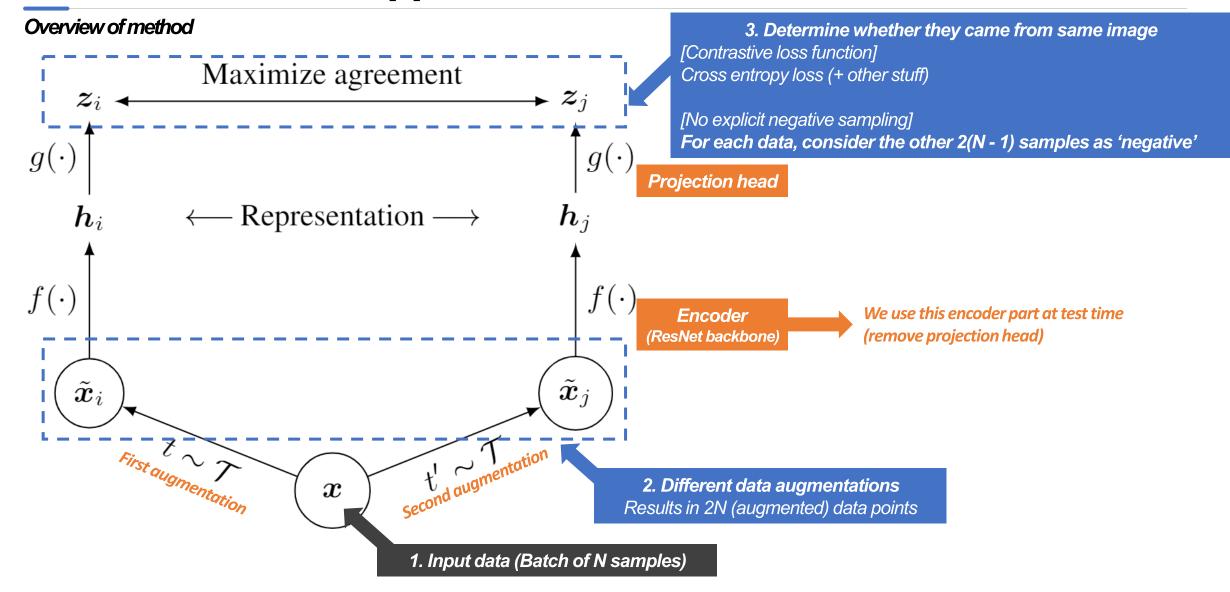
#### 1. Reaching supervised learning performance

- Representations from SimCLR + linear classifier reaches similar performance from supervised learning
- Since we user linear classifier, most benefit comes from SimCLR

### 2. Crucial components

- Composition of multiple data augmentation
- Non-linear projection head
- Contrastive cross entropy loss
- Larger batch sizes and longer training

### 01 Overview of SimCLR [2]



### 01 Overview of SimCLR [2]

### A viewpoint on data augmentation [14]

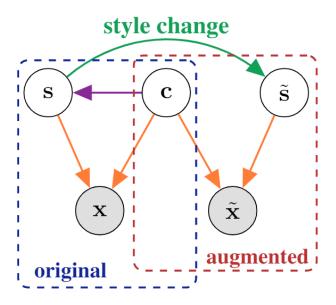


Figure 1: Overview of our problem formulation. We partition the latent variable z into content c and style s, and allow for statistical and causal dependence of style on content. We assume that only style changes between the original view x and the augmented view  $\tilde{x}$ , i.e., they are obtained by applying the same deterministic function f to z = (c, s) and  $\tilde{z} = (c, \tilde{s})$ .

- 1. Assumption: Style and content (semantic characteristics) are related
- 2. Data that we measure is **created by a deterministic process from style & content**
- Then, augmentation only changes the style of the data and leaves the content unchanged

-50

-40

-30

-20

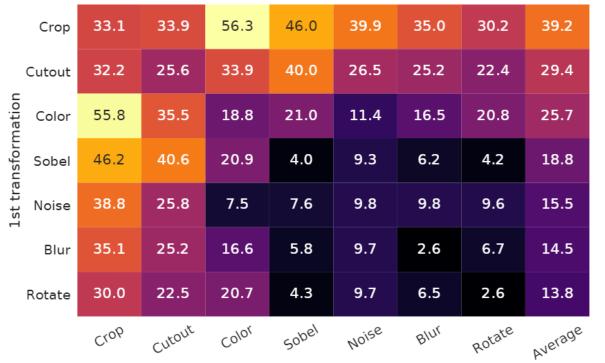
-10

### 1. Composition of data augmentation is crucial for learning good representations

[Settings of augmentation ablation study]

- 1. Only apply one (diagonal in Figure 5) or two (off-diagonal in Figure 5) augmentation to one of the branches
- 2. The remaining branch is always the identity

<sup>\*</sup>This is not the original setting and thus hurts the performance



2nd transformation

Random cropping + random color distortion stands out

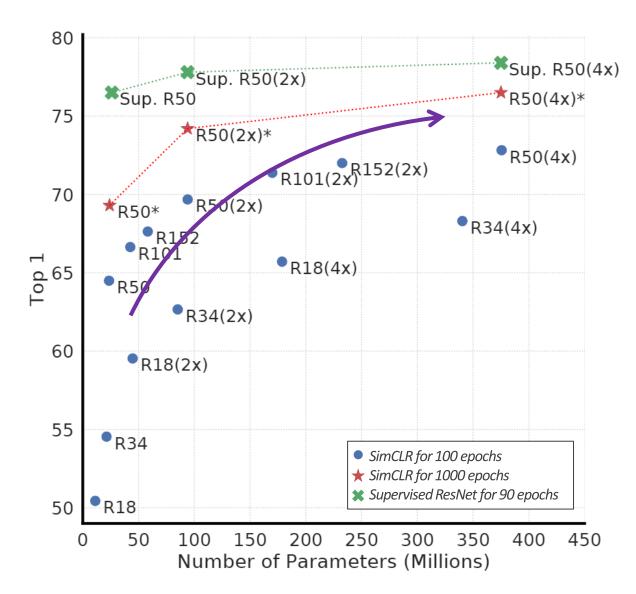
2. CL needs stronger data augmentations than supervised learning

#### Stronger color distortion

|                      | Color distortion strength |      |      |      |           |         |
|----------------------|---------------------------|------|------|------|-----------|---------|
| Methods              | 1/8                       | 1/4  | 1/2  | 1    | 1 (+Blur) | AutoAug |
| SimCLR               | 59.6                      | 61.0 | 62.6 | 63.2 | 64.5      | 61.1    |
| SimCLR<br>Supervised | 77.0                      | 76.7 | 76.5 | 75.7 | 75.4      | 77.1    |

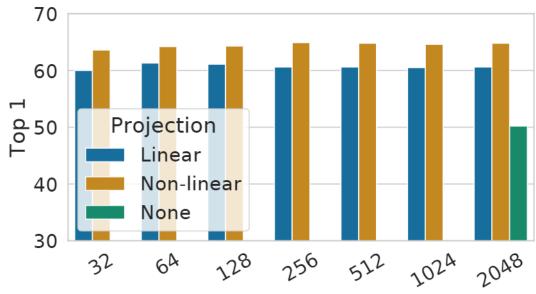
- 1. Stronger color augmentation improves unsupervised learning
- 2. Supervised methods have the opposite trend

#### 3. Unsupervised CL benefits more from bigger models



Gap between supervised and unsupervised models gets less when the model size increases

### 4. Non-linear projection head improves the representation quality of the layer before it



Projection output dimensionality

| What to predict?        | Random guess | Repres h | sentation $g(\boldsymbol{h})$ |
|-------------------------|--------------|----------|-------------------------------|
| Color vs grayscale      | 80           | 99.3     | 97.4                          |
| Rotation                | 25           | 67.6     | 25.6                          |
| Orig. vs corrupted      | 50           | 99.5     | 59.6                          |
| Orig. vs Sobel filtered | 50           | 96.6     | 56.3                          |

**Loss of information** 

#### Plot: Non-linear projections > linear projections > None

- Hypothesis: <u>Contrastive loss can lose some information</u> critical for some downstream tasks
- Another experiment: <u>Compare amount of information</u> before & after non-linear projection
- Table: A lot of information is lost after non-linear projection

5. Normalized cross entropy loss with adjustable temperature works better then alternatives

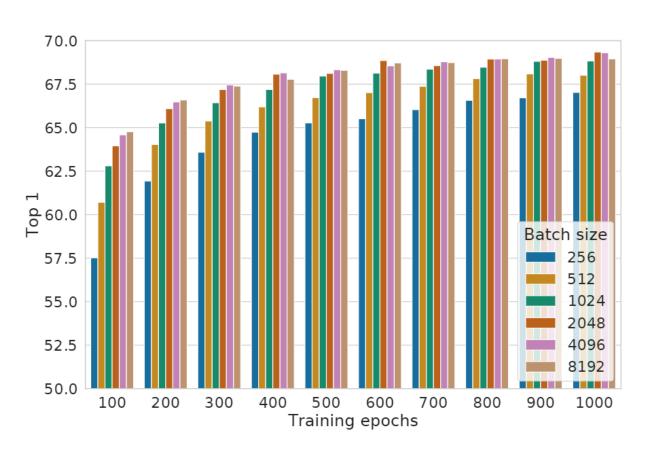
|        |          |             |              | (SimCLR) |
|--------|----------|-------------|--------------|----------|
| Margin | NT-Logi. | Margin (sh) | NT-Logi.(sh) | NT-Xent  |
| 50.9   | 51.6     | 57.5        | 57.9         | 63.9     |

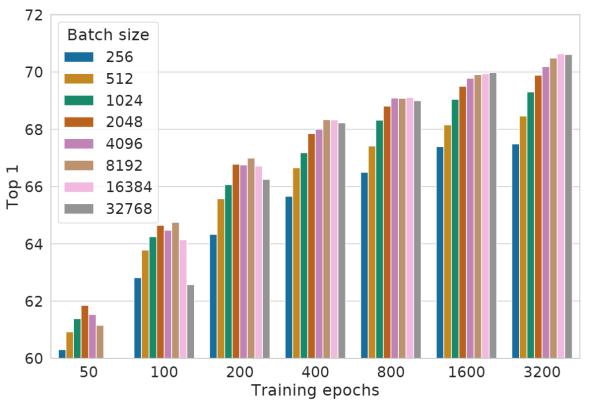
*Table 4.* Linear evaluation (top-1) for models trained with different loss functions. "sh" means using semi-hard negative mining.

| Name           | Negative loss function  |
|----------------|---|
| NT-Xent        | $u^T v^+ / 	au - \log \sum_{oldsymbol{v} \in \{oldsymbol{v}^+, oldsymbol{v}^-\}} \exp(oldsymbol{u}^T oldsymbol{v} / 	au)$ |
| NT-Logistic    | $\log \sigma(\boldsymbol{u}^T\boldsymbol{v}^+/\tau) + \log \sigma(-\boldsymbol{u}^T\boldsymbol{v}^-/\tau)$                |
| Margin Triplet | $-\max(\boldsymbol{u}^T\boldsymbol{v}^ \boldsymbol{u}^T\boldsymbol{v}^+ + m, 0)$  |

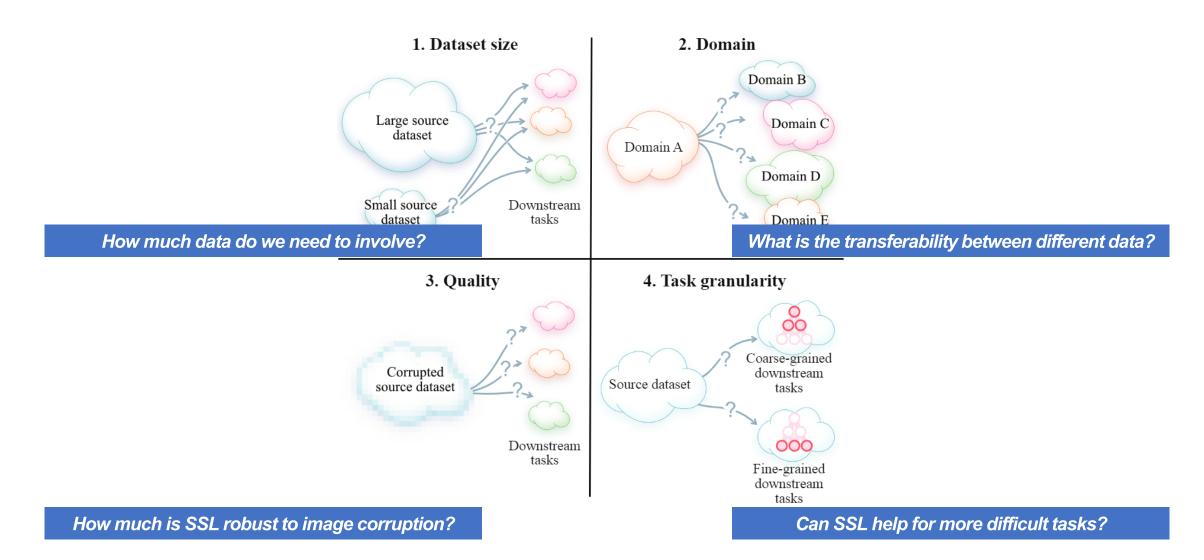
NT-Xent performs best over alternatives

### 6. CL benefits more from larger batch sizes and longer training

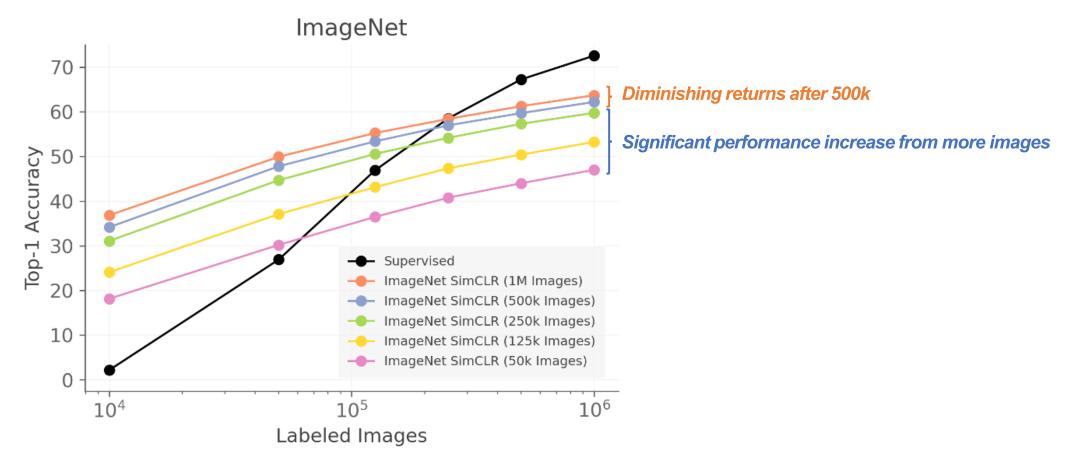




### An empirical analysis of SSL using SimCLR

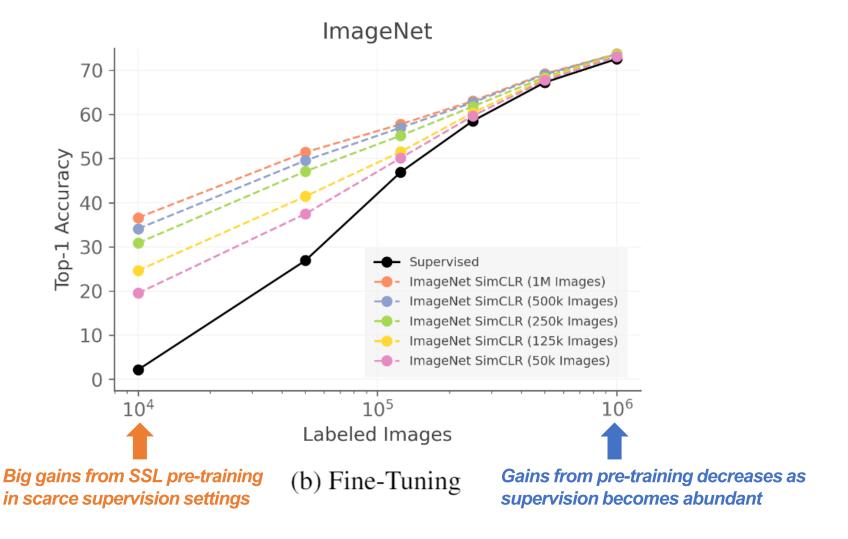


#### 1. Dataset size: There is little benefit beyond 500k

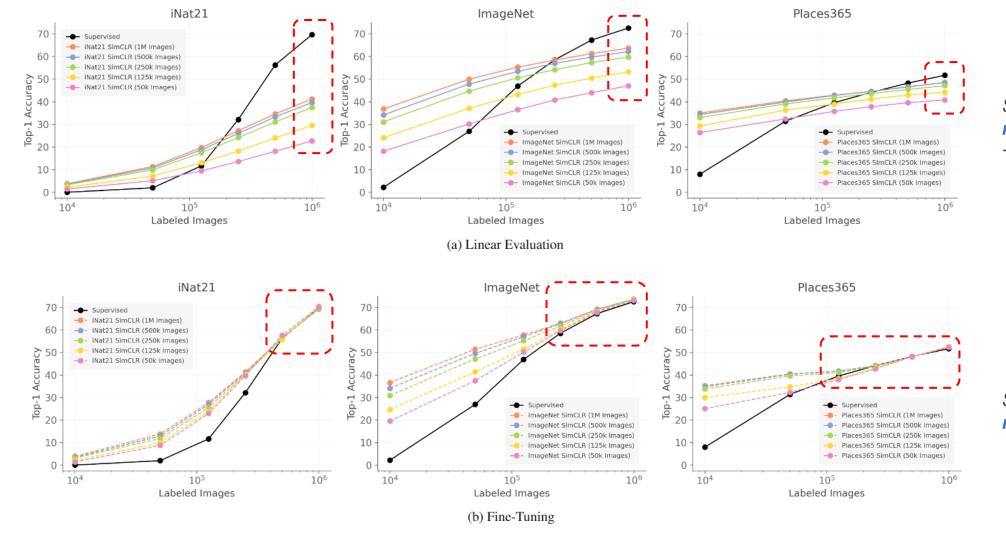


(a) Linear Evaluation

#### 1. Dataset size: SSL provides a good model initialization



#### 1. Dataset size: SSL needs a lot of labeled images to match supervised performance



[Linear evaluation]
Starts to match the performance
near ~1M labeled images
+ iNat21 is a challenging dataset

[Fine-tuning]
Starts to match the performance
near 100~500k labeled images

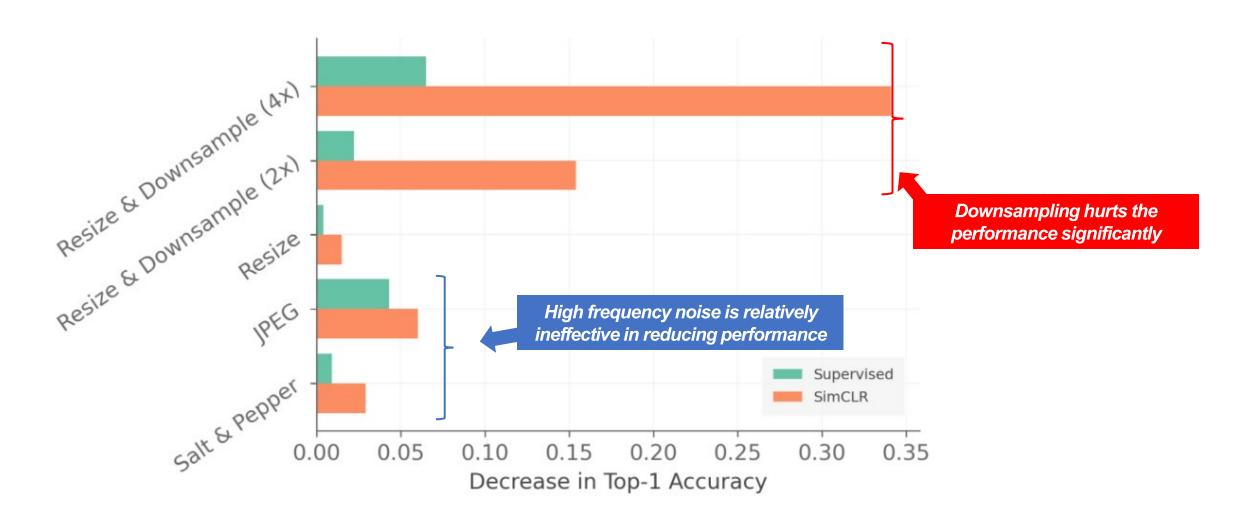
2. Domain: Pre-training from the same domain is always better

\*Linear evaluation

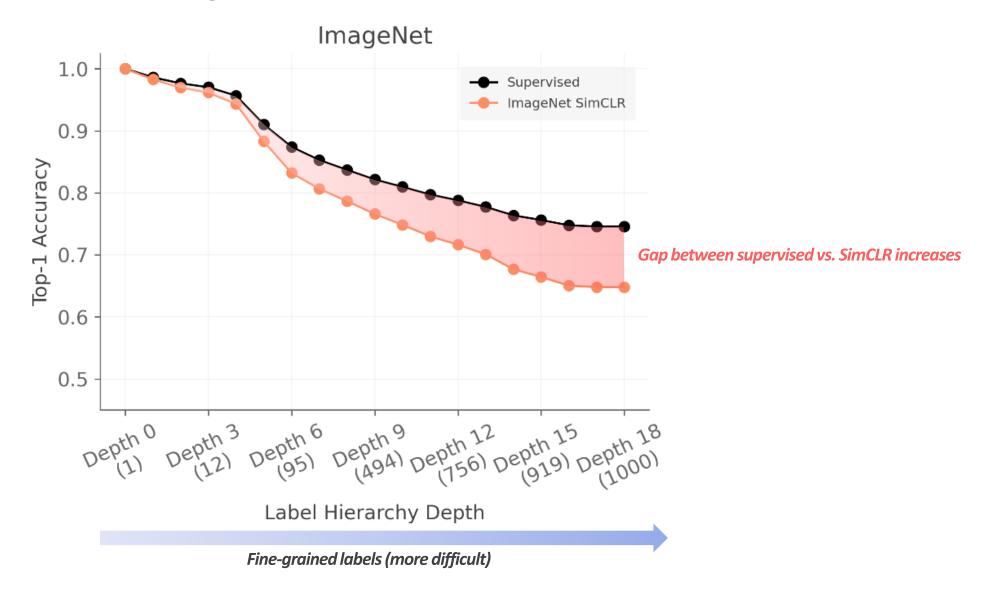
| Pretraining                             | iNat21 | ImageNet | Places36   | 5   GLC20 |
|---|--------|----------|--|-----------|
| iNat21 (1M) SimCLR                      | 0.493  | 0.519    | 0.416  | 0.707     |
| ImageNet (1M) SimCLR                    | 0.373  | 0.644    | <u>0.486</u>   | 0.716     |
| Places365 (1M) SimCLR                   | 0.292  | 0.491    | 0.501  | 0.693     |
| GLC20 (1M) SimCLR                       | 0.187  | 0.372    | 0.329  | 0.769     |
|   |        |          |  |           |
| ImageNet is the be transferring between |        |          | Pre-training with the same domain is dominantly better |           |

Also, adding & combining different datasets usually does not benefit the performance

3. Quality: SimCLR is critical in image resolution, and robust in noise



### 4. Task granularity: SimCLR is critical in image resolution, and robust in noise



### SimCLR: One of the most impactful works in vision (2020)

- 1. How to perform good? [2]
  - Diverse & strong augmentations
  - Large models, large batches, longer training
  - Non-linear projection
  - NX-Tent loss function
- 2. Broader analysis [3]
  - Dataset size has diminishing returns
  - SSL provides good initialization
  - Still need lot of labeled data
  - Keep the dataset domain consistent
  - Use high resolution images
  - May not be powerful in datasets with subtler class differences